

## Exhibit 300: Capital Asset Plan and Business Case Summary

### Part I: Summary Information And Justification (All Capital Assets)

#### Section A: Overview

1. **Date of Submission:** 1969-12-31
2. **Agency:** 015
3. **Bureau:** 00
4. **Name of this Investment:** Treasury Enterprise Identity, Credential and Access Management (TEICAM)
5. **Unique Project (Investment) Identifier (UPI):** 015-00-01-13-01-5202-00
6. **What kind of investment will this be in FY 2012?:** Mixed Life Cycle
  - Planning
  - Full Acquisition
  - Operations and Maintenance
  - Mixed Life Cycle
  - Multi-Agency Collaboration
7. **What was the first budget year this investment was submitted to OMB?** FY2009

8.

- a. **Provide a brief summary of the investment and justification, including a brief description of how this closes in part or in whole an identified agency performance gap, specific accomplishments expected by the budget year and the related benefit to the mission, and the primary beneficiary(ies) of the investment.**

The Treasury Enterprise Identity, Credential and Access Management (TEICAM) Exhibit 300, formerly submitted as Enterprise Identity and Access Management (EIdM), consolidates funding of Treasury implementing the Homeland Security Presidential Directive (HSPD)-12 and Federal Enterprise Identity, Credential and Access Management (FICAM) requirements. The initial Treasury EIdM Exhibit 300 was submitted in September 2007, and mainly focused on PIV (personal identity verification) card enrollment and issuance per the requirements of HSPD-12. It also captured some bureau specific access management tasks with limited collaboration. In November 2009, the FICAM was released providing a common framework for strategically implementing identity management across all Federal agencies. It is the performance gap identified by the FICAM guidance and Treasurys current identity management approach that the TEICAM Exhibit 300 addresses. This investment supports the target vision of, One Treasury One Card providing Universal Access. The TEICAM phased implementation provides the Treasury: - trusted identity processes; - increased security (by decreasing data breaches and trust violations); - compliance with laws, regulations and standards; - improved interoperability; - and the elimination of redundant processes. In support of the FICAM implementation, Treasury is actively promoting collaboration and leveraging knowledge among its ten (10) bureaus. A four-phased implementation strategy is in place. This strategy includes: (1) education/Integration of what the FICAM is and how it supports the Department of the Treasury mission; (2) assessment, analysis, alignment of the Departments ICAM roadmap with the FICAM; (3) transition/implementation of interoperable enterprise solutions; and (4) operation and maintenance. To date, Treasurys progress includes timely completing the PIV Card issuance milestone by the budget year 2012. To increase cost-saving, the Department utilizes interagency resources to authenticate users and data (TEDS), and US ACCESS to procure and maintain enterprise-wide compliant PIV credentials. Remaining FICAM activities completion targets fall within FY 2014. Additionally, as a mixed life-cycle investment, the TEICAM Exhibit 300 Operations and Maintenance tasks include all OMB reporting requirements.

- b. **Provide any links to relevant websites that would be useful to gain additional information on the investment including links to GAO and IG reports.**

Title	Link
NONE	

- 9.
- a. **Provide the date of the Agency's Executive/Investment Committee approval of this investment.**  
2010-09-03
  - b. **Provide the date of the most recent or planned approved project charter.** 2010-07-06
10. **Contact information?**
- a. **Program/Project Manager Name:** \*  
**Phone Number:** \*  
**Email:** \*
  - b. **Business Function Owner Name (i.e. Executive Agent or Investment Owner):** Litman, Diane, Acting CIO  
**Phone Number:** \*  
**Email:** \*
11. **What project management qualifications does the Project Manager have? (choose only one per FAC-P/PM or DAWIA):** Project manager assigned but qualification status review has not yet started.
- Project manager has been validated according to FAC-P/PM or DAWIA criteria as qualified for this investment.
  - Project manager qualifications according to FAC-P/PM or DAWIA criteria is under review for this investment.
  - Project manager assigned to investment, but does not meet requirements according to FAC-P/PM or DAWIA criteria.
  - Project manager assigned but qualification status review has not yet started.
  - No project manager has yet been assigned to this investment.

## Section B: Summary of Funding (Budget Authority for Capital Assets)

1.

Table I.B.1: Summary of Funding

(In millions of dollars)

(Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)

	PY-1 and earlier	PY 2010	CY 2011 (CY Continuing Resolution)	BY 2012	BY+1 2013	BY+2 2014	BY+3 2015	BY+4 and beyond	Total
Planning:	*	*	*	*	*	*	*	*	*
Acquisition:	*	*	*	*	*	*	*	*	*
Planning & Acquisition Government FTE Costs	*	*	*	*	*	*	*	*	*
Subtotal Planning & Acquisition(DME):	*	*	*	*	*	*	*	*	*
Operations & Maintenance:	*	*	*	*	*	*	*	*	*
Disposition Costs (optional):	*	*	*	*	*	*	*	*	*
Operations, Maintenance, Disposition Government FTE Costs	*	*	*	*	*	*	*	*	*
Subtotal O&M and Disposition Costs (SS):	*	*	*	*	*	*	*	*	*
TOTAL FTE Costs	*	*	*	*	*	*	*	*	*
TOTAL (not including FTE costs):	*	*	*	*	*	*	*	*	*
TOTAL (including FTE costs):	*	*	*	*	*	*	*	*	*
Number of FTE represented by	*	*	*	*	*	*	*	*	*

**Table I.B.1: Summary of Funding**  
**(In millions of dollars)**

(Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)

	PY-1 and earlier	PY 2010	CY 2011 (CY Continuing Resolution)	BY 2012	BY+1 2013	BY+2 2014	BY+3 2015	BY+4 and beyond	Total
Costs:									

2. Insert the number of years covered in the column “PY-1 and earlier”: 4

3. Insert the number of years covered in the column “BY+4 and beyond”: \*

4. If the summary of funding has changed from the FY 2011 President’s Budget request, briefly explain those changes:

\*

## Section C: Acquisition/Contract Strategy (All Capital Assets)

1.

Table I.C.1 Contracts Table

Contract Status	Contracting Agency ID	Procurement Instrument Identifier (PIID)	Indefinite Delivery Vehicle (IDV) Reference ID	Solicitation ID	Alternative financing	EVM Required	Ultimate Contract Value (M)	Type of Contract/Task Order (Pricing)	Is the contract a Performance Based Service Acquisition (PBSA)?	Effective date	Actual or expected End Date of Contract/Task Order	Extent Competed	Short description of acquisition
Awarded	3451	TFMS-HQ-08-K-0010			*	*	\$13.1	Cost Plus Incentive	Y	2007-10-01	2012-09-30		
Awarded	3456	IRS TIRNO09T00046			*	*	\$10.3	Cost Plus Award Fee	Y	2009-08-01	2014-08-31		
Awarded	3466	TIRNO06D00026004 1			*	*	\$8.5	Cost Plus Award Fee	N	2008-12-17	2011-10-26		
Awarded	3469	TIRNO08M00010			*	*	\$30.2	Cost Plus Award Fee	N	2007-12-01	2011-09-30		
Awarded	3475	TCC-05-HQ-G-0015			*	*	\$28.5	Cost Plus Award Fee	Y	2005-01-01	2013-09-30		
Awarded	3525	IRS TIRNO08M00015			*	*	\$0.0	Cost Plus Award Fee	N	2008-10-01	2010-09-30	Full and Open Competition	
Awarded	4003	IRS Planned Requirement TO# TBD			*	*	\$0.0	Cost Plus Award Fee	N	2009-11-30	2011-11-29		

Table I.C.1 Contracts Table

Contract Status	Contracting Agency ID	Procurement Instrument Identifier (PIID)	Indefinite Delivery Vehicle (IDV) Reference ID	Solicitation ID	Alternative financing	EVM Required	Ultimate Contract Value (M)	Type of Contract/Task Order (Pricing)	Is the contract a Performance Based Service Acquisition (PBSA)?	Effective date	Actual or expected End Date of Contract/Task Order	Extent Completed	Short description of acquisition
Awarded	4273	TIRNO-09-C-00031			*	*	\$0.3	Firm Fixed Price	N	2009-04-20	2010-04-20		
Awarded	4273	10PA0048			*	*	\$1.6	Cost Plus Award Fee	Y	2009-10-01	2010-09-30		
Awarded	4691	TPD-OIT-09-00047			*	*	\$0.0	Firm Fixed Price	Y	2009-08-01	2013-07-31	Full and Open Competition	
Awarded	4691	TPD-OIT-09-00024			*	*	\$0.0	Firm Fixed Price	Y	2009-01-23	2013-01-22	Full and Open Competition	
Awarded	4691	TPD-OIT-10-K-00023	GS-35F-03-32K		*	*	\$0.1	Firm Fixed Price	Y	2009-10-01	2011-09-30	Full and Open Competition	
Awarded	4691	TPD-BPD-08-K-00152	GS-07F-7733C		*	*	\$0.3	Firm Fixed Price	N	2009-10-01	2014-09-30	Full and Open Competition	
Awarded	4694	TFMS-HQ-08-K-0010	GS35F006L		*	*	\$13,800.0	Labor Hours	Y	2007-10-15	2012-09-30	Full and Open Competition	
Awarded	4694	TFMS-HQ-08-K-013	GS35F033P		*	*	\$34,300.0	Labor Hours	Y	2008-09-30	2013-09-29	Full and Open Competition	

Table I.C.1 Contracts Table

Contract Status	Contracting Agency ID	Procurement Instrument Identifier (PIID)	Indefinite Delivery Vehicle (IDV) Reference ID	Solicitation ID	Alternative financing	EVM Required	Ultimate Contract Value (M)	Type of Contract/Task Order (Pricing)	Is the contract a Performance Based Service Acquisition (PBSA)?	Effective date	Actual or expected End Date of Contract/Task Order	Extent Completed	Short description of acquisition
-----------------	-----------------------	--	--	-----------------	-----------------------	--------------	-----------------------------	---------------------------------------	---	----------------	--	------------------	----------------------------------

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why:

\*

3.

- a. Has an Acquisition Plan been developed? If yes, please answer the questions that follow \*
- b. Does the Acquisition Plan reflect the requirements of FAR Subpart 7.1 \*
- c. Was the Acquisition Plan approved in accordance with agency requirements \*
- d. If "yes," enter the date of approval? \*
- e. Is the acquisition plan consistent with your agency Strategic Sustainability Performance Plan? \*
- f. Does the acquisition plan meet the requirements of EOs 13423 and 13514? \*
- g. If an Acquisition Plan has not been developed, provide a brief explanation.

\*

## Part II: IT Capital Investments

### Section A: General

1.
  - a. Confirm that the IT Program/Project manager has the following competencies: configuration management, data management, information management, information resources strategy and planning, information systems/network security, IT architecture, IT performance assessment, infrastructure design, systems integration, systems life cycle, technology awareness, and capital planning and investment control. yes
  - b. If not, confirm that the PM has a development plan to achieve competencies either by direct experience or education. yes
2. Describe the progress of evaluating cloud computing alternatives for service delivery to support this investment. Alternatives Analysis including cloud options is scheduled to be delivered September, 2011
3. Provide the date of the most recent or planned Quality Assurance Plan 2011-09-30
4.
  - a. Provide the UPI of all other investments that have a significant dependency on the successful implementation of this investment. 000-00-01-00-01-0000-00
  - b. If this investment is significantly dependent on the successful implementation of another investment(s), please provide the UPI(s). 000-00-01-00-01-0000-00
5. An Alternatives Analysis must be conducted for all Major Investments with Planning and Acquisition (DME) activities and evaluate the costs and benefits of at least three alternatives and the status quo. The details of the analysis must be available to OMB upon request. Provide the date of the most recent or planned alternatives analysis for this investment. 2011-09-30
6. Risks must be actively managed throughout the lifecycle of the investment. The Risk Management Plan and risk register must be available to OMB upon request. Provide the date that the risk register was last updated. 2011-09-30



## Section B: Cost and Schedule Performance

Table II.B.1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline:

Description of Activity	DME or SS	Agency EA Transition Plan Milestone Identifier	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
Identity and Access Management (IDAM)-Planning	DME	*	\$10.6	\$3.9	2008-10-01	2008-10-01	2011-09-30		33.00%	61.00%
Personnel Identity Verification (PIV) Card Management - enrollment/issuance	DME	*	\$44.2	\$32.5	2008-10-01	2008-10-01	2014-09-30		86.00%	86.00%
Physical Access	DME	*	\$6.3	\$9.3	2008-10-01	2008-10-01	2014-09-30		26.00%	26.00%
Logical Access	DME	*	\$5.9	\$26.7	2009-10-01	2009-10-01	2014-09-30		25.00%	21.00%
Operations and Maintenance	SS	*	\$80.4	\$32.3	2008-10-01	2008-10-01	2014-09-30		25.00%	25.00%

2. If the investment cost, schedule, or performance variances are not within 10 percent of the current baseline, provide a complete analysis of the reasons for the variances, the corrective actions to be taken, and the most likely estimate at completion.

3. For mixed lifecycle or operations and maintenance investments an Operational Analysis must be performed annually. Operational analysis may identify the need to redesign or modify an asset by identifying previously undetected faults in design, construction, or installation/integration, highlighting whether actual operation and maintenance costs vary significantly from budgeted costs, or documenting that the asset is failing to meet program requirements. The details of the analysis must be available to OMB upon request. Insert the date of the most recent or planned operational analysis.

4. Did the Operational analysis cover all 4 areas of analysis: Customer Results, Strategic and Business Results, Financial Performance, and Innovation? yes

Section C: Financial Management Systems

Table II.C.1: Financial Management Systems			
System(s) Name	System acronym	Type of Financial System	BY Funding
*	*	*	*

Section D: Multi-Agency Collaboration Oversight (For Multi-Agency Collaborations only)

Table II.D.1. Customer Table:	
Customer Agency	Joint exhibit approval date
NONE	

Table II.D.2. Shared Service Providers		
Shared Service Provider (Agency)	Shared Service Asset Title	Shared Service Provider Exhibit 53 UPI (BY 2011)
*	*	*

Table II.D.3. For IT Investments, Partner Funding Strategies (\$millions):							
Partner Agency	Partner exhibit 53 UPI (BY 2012)	CY Monetary Contribution	CY “In-Kind” Contribution	CY Fee-for-Service	BY Monetary Contribution	BY “In-Kind” Contribution	BY Fee-for-Service
NONE							

Table II.D.4. Legacy Systems Being Replaced		
Name of the Legacy Investment of Systems	Current UPI	Date of the System Retirement
*	*	*

## Section E: Performance Information

Table I.E.1a. Performance Metric Attributes

Measurement Area (For IT Assets)	Measurement Grouping (For IT Assets)	Measurement Indicator	Reporting Frequency	Unit of Measure	Performance Measure Direction	Baseline	Year Baseline Established for this measure (Origination Date)
Technology	IT Contribution to Process, Customer, or Mission	Number of electronic transactions conducted with external businesses and citizens using third party credentials divided by the total number of e-Gov transactions conducted with external businesses and citizens.	monthly	Percent	increase	To Be Determined	2010-10-31
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2011	25%	To be Determined		2010-09-17
			2012	50%	To be Determined		2010-09-17
			2013	75%	To be Determined		2010-09-17
			2014	100%	To be Determined		2010-09-17
Mission and Business Results	Central Records and Statistics Management	Number of identity attributes that have a single recognized authoritative source divided by the total number of attributes used to comprise a digital identity .	monthly	Percent	increase	To Be Determined	2010-10-31
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2011	25%	To be Determined		2010-09-17

Processes and Activities	Security	Number of physical access transactions that electronically authenticate internal and external user's PIV card for routine access divided by the number of physical access transactions supported for internal and external Agency users.	2012	50%	To be Determined		2010-09-17
			2013	75%	To be Determined		2010-09-17
			2014	100%	To be Determined		2010-09-17
			monthly	Percent	increase	To Be Determined	2010-10-31
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2011	25%	To be Determined		2010-09-17
			2012	50%	To be Determined		2010-09-17
			2013	75%	To be Determined		2010-09-17
			2014	100%	To be Determined		2010-09-17
Processes and Activities	Innovation and Improvement	Percentage of employees/contractors/affiliates who have been issued PIV cards.	monthly	Percent	increase	To Be Determined	2010-10-31
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated

Customer Results	Automation	Percentage of government applications accessible to federal employees and contractors using PIV credentials for authentication.	2011	92%	To be Determined		2010-09-17
			2012	94%	To be Determined		2010-09-17
			2013	96%	To be Determined		2010-09-17
			2014	100%	To be Determined		2010-09-17
			monthly	Percent	increase	To Be Determined	2010-10-31
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2011	25%	To be Determined		2010-09-17
			2012	50%	To be Determined		2010-09-17
			2013	75%	To be Determined		2010-09-17
			2014	100%	To be Determined		2010-09-17

\* - Indicates data is redacted.